

05-15-01

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EXPRESS MAIL NO.: EL 491 887 646 US
ATTORNEY DOCKET NO. 25006.0006U3
SERIAL NO. Unassigned
PAGE 1 OF 3 (05/14/01)

CONTINUING APPLICATION TRANSMITTAL FORM
(37 C.F.R. § 1.53(b))

ANTICIPATED CLASSIFICATION OF THIS
APPLICATION:

PRIOR APPLICATION:
09/396,340

CLASS: SUBCLASS:

EXAMINER: Taylor, J.

ART UNIT: 1655

To the Assistant Commissioner for Patents:

This is a request for filing a continuation application under 37 C.F.R. § 1.53(b), of pending prior application Serial No. 09/396,340 filed on September 15, 1999 and claims benefit of Provisional Application Serial No. 60/100,305, filed September 15, 1998, by Paul M. Lizardi for "ARTIFICIAL LONG TERMINAL REPEAT VECTORS".

If any extension of time is necessary for the filing of this application, including any extension of time necessary in the prior application for maintaining copendency between the prior application and this application, and such extension has not otherwise been requested, Applicant hereby petitions for such an extension in the prior application.

1. Enclosed is a specification as prescribed by 35 U.S.C. § 112 containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75, and any drawing required by § 1.81(a).

2. The inventor of the invention being claimed in this application is: Paul M. Lizardi

3A. ☒ This application is a continuation or divisional application (not a continuation-in-part) that:

- (i) names as inventors the same or fewer than all of the inventors named in the prior application; and
- (ii) contains no matter that would have been new matter in the prior application; and
- (iii) a copy of the executed oath or declaration filed in the prior application, showing the signature or an indication thereon that it was signed:

☒ is enclosed.
☐ will follow.

☐ This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. § 1.53(d)(4), the Commissioner is requested to delete the name(s) of the following persons who are not inventors of the invention being claimed in this application:

--OR--

3B. ☐ This application is a continuation-in-part application, or a continuation or divisional application naming an inventor not named in the prior application. A newly executed oath or declaration:

☐ is enclosed.
☐ will follow.

4. ☐ Amend the Title of the Invention as follows:

5. ☒ Amend the specification by inserting before the first line the sentence:

This application is a continuation application under 37 C.F.R. § 1.53(b), of pending prior application Serial No. 09/396,340 filed on September 15, 1999 and claims benefit of Provisional Application Serial No. 60/100,305, filed September 15, 1998, which status is abandoned, which applications are hereby incorporated herein in its entirety by reference.

6. ☐ Priority of foreign application number , filed on in is claimed under 35 U.S.C. § 119.

☐ The certified copy has been filed in prior application Serial No. , filed on .

7. ☐ Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

8. ☐ A preliminary amendment is enclosed.

9. ☒ New formal drawings are enclosed.

10. ☒ Also enclosed are: Paper Copy of Sequence Listing which is identical to the sequence listing for the parent application Serial No. 09/396,340, filed September 15, 1999, and hereby request that the computer readable form of the sequence listing submitted in application Serial No. 09/396,340, be used as the computer readable form of the sequence listing for the new application; copy of executed Declaration for Patent Application; and Recorded Assignment from Paul M. Lizardi to Yale University.

11. ☒ The prior application is assigned of record to: Yale University

12. ☒ Applicant claims small entity status. See 37 C.F.R. § 1.27.

13. The filing fee is calculated as follows:

TOTAL CLAIMS	25 - 20 =	5	x \$18.00	\$90.00
INDEPENDENT CLAIMS	6 - 3 =	3	x \$80.00	\$240.00
MULTIPLE DEPENDENT CLAIM(S) (IF APPLICABLE) =			+ \$270.00	\$0.00
BASIC FEE =				\$710.00
TOTAL OF ABOVE CALCULATIONS =				\$1040.00
REDUCTION BY ½ FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. § 1.9, § 1.27, § 1.28)				\$520.00
TOTAL NATIONAL FEE =				\$520.00

14. ☒ A check in the amount of \$520.00 is enclosed.

15. ☒ The Commissioner is hereby authorized to charge any fees which may be required under 37 C.F.R. § 1.16 and § 1.17, or credit any overpayment to Deposit Account No. 14-0629.

16. ☒ The Power of Attorney in the prior application is to: Patrea L. Pabst, Robert A. Hodges, and Kevin W. King

- a. ☒ The Power of Attorney appears in the original papers in the prior application.
- b. ☐ Since the Power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☒ Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record.)

Robert A. Hodges
 NEEDLE & ROSENBERG, P.C.
 Suite 1200, The Candler Building
 127 Peachtree Street, N.E.
 Atlanta, GA 30303-1811

17. I hereby verify that all statements made herein of my own knowledge are true; and further that these statements were made with the knowledge that willful false statements and the like are made punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Robert A. Hodges

REG. NO. 41,074

SIGNATURE

DATE

☐ Inventor(s)☐ Assignee of complete interest☒ Attorney or agent of record☐ Filed under 37 C.F.R. § 1.34(a)

(Registration No. is acting under 37 C.F.R. § 1.34(a):

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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Invoice No. EL 491 887 646 in an envelope addressed to: BOX PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231, on the date shown below.

Everardo A. McFarlane

DATE